

Governance and Audit Committee Action List
19th October 2023

Action	Subject/ Meeting	Officer	Outcome	Due date	Action Status	Recommended to close Action Yes/No
1	Action List: Statement of Accounts 2021/22	Jon Davies	Finance Team capacity – verbal update on progress in filling vacancies and further information on which items were being de-prioritised	4 th December 2023	OPEN	No
2	Action List: Audit Wales Work programme: Council progress	Matthew Gatehouse/ Richard Jones/Hannah Carter	People Strategy and Asset Management Plan to be reported on separately in future.	11 th January 2024	OPEN	11 th January 2024
3	Action List: Whole authority Complaints report	Annette Evans/ Matt Gatehouse	A weighting system to be considered for future reports.	Next scheduled Report	OPEN	No
4	Action List: Review of Strategic Risk Register	Matthew Gatehouse/ Richard Jones/ Hannah Carter/ Chair	Strategic Risk Register: refine the structure and contents of this paper so that it is more fully aligned to the responsibilities of the Committee	4 th December 2023	OPEN	4 th December 2023
5	Freedom of Information, Data Protection and Data Subject	Kath Evans/ Sian Hayward	a) Information was requested on governance arrangement for the policies for these areas.as the Committee has not received any policies for review and endorsement.		a) OPEN	No

	Access Requests		b) Deputy Chief Executive to consider which corporate risk control policies (extending beyond IT and data protection) that the Committee should periodically review and recommend for approval across the authority.	4 th December 2023	b) OPEN	No
6	Draft Operational Plan	Peter Davies/ Jan Furtek	a) Committee requested to be consulted on proposed delivery models b) Update on capacity	a) 4th December 2023 b) 4th December 2023	a) OPEN b) OPEN	a) No b) No
7	Counter Fraud, Corruption and Bribery Policy	Peter Davies/ Jan Furtek	a) Deputy Chief Executive to raise with the Head of HR, and report back accordingly: i) How concerns are raised under the Whistle Blowing Policy and whether consideration will be given to receipt of concerns at independent board or other appropriate level, also: ii) Taking into account the terms of reference of this committee, how best to report on instances of whistleblowing. b) Chief Internal Auditor to add periodic updates to the Forward Work programme	a) 4th December 2023 b) 4th December 2023	a) OPEN b) OPEN	a) No b) No
8	Audit Wales Work Programme: Council Progress		Deputy Chief Executive to provide the Committee an update on work in progress on the in-year deficit, and budget development in terms of the robustness of the process.	4 th December 2023	OPEN	No